

Department of Business Regulation

Agency Summary

| | FY 2007 | | FY 2008 | |
|------------------------------------|--------------|---------------------|--------------|---------------------|
| | <u>FTE</u> | <u>Cost</u> | <u>FTE</u> | <u>Cost</u> |
| Distribution by Category | | | | |
| Classified | 90.0 | 5,483,718 | 90.0 | 5,744,109 |
| Unclassified | 13.0 | 912,784 | 13.0 | 957,831 |
| Turnover | | (289,112) | | (43,656) |
| Total Salaries | 103.0 | \$6,107,390 | 103.0 | \$6,658,284 |
| Benefits | | | | |
| Retirement | | 1,137,857 | | 1,389,231 |
| Medical | | 931,435 | | 1,139,826 |
| FICA | | 469,298 | | 512,073 |
| Retiree Health | | 195,564 | | 246,816 |
| Payroll Accrual | | 24,524 | | 34,326 |
| Total Salaries and Benefits | 103.0 | \$8,866,068 | 103.0 | \$9,980,556 |
| Cost Per FTE Position | | 86,078 | | 96,899 |
| Temporary and Seasonal | | 112,142 | | 120,451 |
| Statewide Benefit Assessment | | 232,520 | | 260,104 |
| Retroactive Payments | | 326,596 | | - |
| Payroll Costs | 103.0 | \$9,537,326 | 103.0 | \$10,361,111 |
| Purchased Services | | | | |
| Legal Services | | 33,700 | | 9,600 |
| Management and Consultant Services | | 749,674 | | 775,026 |
| Clerical and Temporary Services | | 1,700 | | 1,700 |
| Other Contract Services | | 7,915 | | 7,915 |
| Total | | \$792,989 | | \$794,241 |
| Total Personnel | 103.0 | \$10,330,315 | 103.0 | \$11,155,352 |

Department of Business Regulation

Agency Summary

| | <u>FY 2007</u> | | <u>FY 2008</u> | |
|--|----------------|---------------------|----------------|---------------------|
| | <u>FTE</u> | <u>Cost</u> | <u>FTE</u> | <u>Cost</u> |
| Distribution by Source of Funds | | | | |
| General Revenue | 101.0 | 9,581,894 | 101.0 | 10,384,110 |
| Federal Funds | | 43,291 | | 51,742 |
| Restricted Receipts | 2.0 | 705,130 | 2.0 | 719,500 |
| Total: All Funds | 103.0 | \$10,330,315 | 103.0 | \$11,155,352 |

Department of Business Regulation

Central Management

| | <u>Grade</u> | <u>FY 2007</u> | | <u>FY 2008</u> | |
|--|--------------|----------------|--------------------|----------------|--------------------|
| | | <u>FTE</u> | <u>Cost</u> | <u>FTE</u> | <u>Cost</u> |
| Classified | | | | | |
| Chief of Legal Services | 0139 A | 1.0 | 82,464 | 1.0 | 85,249 |
| Deputy Chief of Legal Services | 0137 A | 1.0 | 67,288 | 1.0 | 74,713 |
| Senior Legal Counsel | 0134 A | 1.0 | 63,504 | 1.0 | 67,857 |
| Department Budget Administrator | 0134 A | 1.0 | 71,084 | 1.0 | 73,713 |
| Systems Analyst | 0124 A | 1.0 | 51,872 | 1.0 | 55,596 |
| Legal Assistant | 0119 A | 1.0 | 42,798 | 1.0 | 44,782 |
| Licensing Aide - Bus. Reg. | 0015 A | 1.0 | 39,031 | 1.0 | 40,182 |
| Subtotal | | 7.0 | \$418,041 | 7.0 | \$442,092 |
| Unclassified | | | | | |
| Director, Dept. of Business Regulation | 0945 K | 1.0 | 113,185 | 1.0 | 116,581 |
| Executive Counsel | 0839 A | 1.0 | 86,748 | 1.0 | 89,350 |
| Administrative Officer | 0822 A | 1.0 | 51,496 | 1.0 | 53,969 |
| Executive Secretary | 0821 A | 1.0 | 41,722 | 1.0 | 42,974 |
| Subtotal | | 4.0 | 293,151 | 4.0 | 302,874 |
| Turnover | | | (4,511) | | - |
| Total Salaries | | 11.0 | \$706,681 | 11.0 | \$744,966 |
| Benefits | | | | | |
| Retirement | | | 130,189 | | 154,729 |
| Medical | | | 78,183 | | 92,267 |
| FICA | | | 53,101 | | 56,048 |
| Retiree Health | | | 21,924 | | 27,044 |
| Payroll Accrual | | | 2,826 | | 3,637 |
| Total Salaries and Benefits | | 11.0 | \$992,904 | 11.0 | \$1,078,691 |
| Cost Per FTE Position | | | 90,264 | | 98,063 |
| Statewide Benefit Assessment | | | 26,853 | | 29,054 |
| Retroactive Payments | | | 5,769 | | - |
| Payroll Costs | | 11.0 | \$1,025,526 | 11.0 | \$1,107,745 |

Department of Business Regulation

Central Management

| | <u>Grade</u> | <u>FY 2007</u> | | <u>FY 2008</u> | |
|--|--------------|----------------|--------------------|----------------|--------------------|
| | | <u>FTE</u> | <u>Cost</u> | <u>FTE</u> | <u>Cost</u> |
| Purchased Services | | | | | |
| Legal Services | | | 24,100 | | - |
| Other Contract Services | | | 6,715 | | 6,715 |
| Total | | | \$30,815 | | \$6,715 |
| Total Personnel | | 11.0 | \$1,056,341 | 11.0 | \$1,114,460 |
| Distribution by Source of Funds | | | | | |
| General Revenue | | 11.0 | 1,056,341 | 11.0 | 1,114,460 |
| Total: All Funds | | 11.0 | \$1,056,341 | 11.0 | \$1,114,460 |

Department of Business Regulation

Banking and Securities Regulation

| | <u>Grade</u> | <u>FY 2007</u> | | <u>FY 2008</u> | |
|--|--------------|----------------|--------------------|----------------|--------------------|
| | | <u>FTE</u> | <u>Cost</u> | <u>FTE</u> | <u>Cost</u> |
| Classified | | | | | |
| Assoc Dir. & Superintendent of Banking | 0141 A | 1.0 | 104,658 | 1.0 | 107,773 |
| Assoc. Dir. & Superintendent of Securities | 0141 A | 1.0 | 99,520 | 1.0 | 102,505 |
| State Chief Bank Examiner | 0139 A | 1.0 | 95,112 | 1.0 | 97,939 |
| Supervisor of Examinations | 0037 A | 1.0 | 91,910 | 1.0 | 94,559 |
| Chief Securities Examiner | 0137 A | 1.0 | 80,698 | 1.0 | 83,010 |
| Assistant Supervisor of Examinations | 0035 A | 4.0 | 323,985 | 4.0 | 336,601 |
| Principal License Exam-Banking | 0031 A | 2.0 | 135,684 | 2.0 | 140,106 |
| Principal Bank Examiner | 0031 A | 1.0 | 57,035 | 1.0 | 62,440 |
| Principial Securities Examiner | 0031 A | 1.0 | 53,185 | 1.0 | 56,888 |
| Senior Bank Examiner | 0028 A | 2.0 | 104,377 | 2.0 | 112,307 |
| Senior Securities Examiner | 0028 A | 1.0 | 56,240 | 1.0 | 60,159 |
| Bank Examiner | 0024 A | 3.0 | 133,123 | 3.0 | 140,006 |
| Systems Analyst | 0024 A | 1.0 | 53,855 | 1.0 | 55,460 |
| Securities Examiner | 0024 A | 3.0 | 133,414 | 3.0 | 140,780 |
| Assistant Administrative Officer | 0021 A | 1.0 | 47,900 | 1.0 | 49,331 |
| Licensing Aide | 0015 A | 3.0 | 110,955 | 3.0 | 116,489 |
| Subtotal | | 27.0 | \$1,681,651 | 27.0 | \$1,756,353 |
| Unclassified | | | | | |
| Administrative Officer | 0822 A | 1.0 | 53,917 | 1.0 | 55,523 |
| Turnover | | | (96,067) | | - |
| Total Salaries | | 28.0 | \$1,639,501 | 28.0 | \$1,811,876 |
| Benefits | | | | | |
| Retirement | | | 304,059 | | 376,327 |
| Medical | | | 254,083 | | 316,234 |
| FICA | | | 123,900 | | 138,144 |
| Retiree Health | | | 51,169 | | 65,776 |
| Payroll Accrual | | | 6,561 | | 9,761 |
| Total Salaries and Benefits | | 28.0 | \$2,379,273 | 28.0 | \$2,718,118 |
| Cost Per FTE Position | | | 84,974 | | 97,076 |

Department of Business Regulation

Banking and Securities Regulation

| | <u>Grade</u> | <u>FY 2007</u> | | <u>FY 2008</u> | |
|--|--------------|----------------|--------------------|----------------|--------------------|
| | | <u>FTE</u> | <u>Cost</u> | <u>FTE</u> | <u>Cost</u> |
| Statewide Benefit Assessment | | | 62,138 | | 70,662 |
| Retroactive Payments | | | 124,128 | | - |
| Payroll Costs | | 28.0 | \$2,565,539 | 28.0 | \$2,788,780 |
| Purchased Services | | | | | |
| Clerical and Temporary Services | | | 900 | | 900 |
| Other Contract Services | | | 700 | | 700 |
| Total | | | \$1,600 | | \$1,600 |
| Total Personnel | | 28.0 | \$2,567,139 | 28.0 | \$2,790,380 |
| Distribution by Source of Funds | | | | | |
| General Revenue | | 28.0 | 2,567,139 | 28.0 | 2,790,380 |
| Total: All Funds | | 28.0 | \$2,567,139 | 28.0 | \$2,790,380 |

Department of Business Regulation

Commercial Licensing and Racing and Athletics

| | <u>Grade</u> | <u>FY 2007</u> | | <u>FY 2008</u> | |
|---|--------------|----------------|--------------------|----------------|--------------------|
| | | <u>FTE</u> | <u>Cost</u> | <u>FTE</u> | <u>Cost</u> |
| Classified | | | | | |
| Associate Dir., Division of Comm. Lic & Reg | 0141 A | 1.0 | 105,575 | 1.0 | 108,625 |
| Administrator - Real Estate | 0135 A | 1.0 | 82,328 | 1.0 | 84,788 |
| Chief Licensing Examiner Racing & Athletics | 0133A | 1.0 | 62,137 | 1.0 | 66,964 |
| Chief License Examiner Div Comm Lic & Reg | 0033 A | 1.0 | 57,152 | 1.0 | 61,016 |
| Chief, Auto Body/Wrecking & Salvage Oper | 0033 A | 1.0 | 76,131 | 1.0 | 78,324 |
| Chief Public Protection Inspector | 0132 A | 1.0 | 73,732 | 1.0 | 75,926 |
| Pari-Mutuel Operations Specialist | 0326A | 2.0 | 86,081 | 2.0 | 91,952 |
| Systems Analyst | 0124 A | 1.0 | 39,220 | 1.0 | 41,694 |
| Licensing Control Investigator | 0018 A | 1.0 | 35,950 | 1.0 | 38,799 |
| Licensing Aide | 0015 A | 6.0 | 222,254 | 6.0 | 233,314 |
| Subtotal | | 16.0 | \$840,560 | 16.0 | \$881,402 |
| Turnover | | | (41,242) | | (11,069) |
| Total Salaries | | 16.0 | \$799,318 | 16.0 | \$870,333 |
| Benefits | | | | | |
| Retirement | | | 154,016 | | 187,069 |
| Medical | | | 170,654 | | 210,260 |
| FICA | | | 69,852 | | 75,347 |
| Retiree Health | | | 27,967 | | 34,697 |
| Payroll Accrual | | | 3,263 | | 4,673 |
| Total Salaries and Benefits | | 16.0 | 1,225,070.0 | 16.0 | 1,382,379.0 |
| Cost Per FTE Position | | | 49,957 | | 54,396 |
| Temporary and Seasonal | | | 112,142 | | 120,451 |
| Statewide Benefit Assessment | | | 30,981 | | 34,375 |
| Retroactive Payments | | | 8,016 | | - |
| Payroll Costs | | 16.0 | \$1,376,209 | 16.0 | \$1,537,205 |

Department of Business Regulation

Commercial Licensing and Racing and Athletics

| | <u>Grade</u> | <u>FY 2007</u> | | <u>FY 2008</u> | |
|--|--------------|----------------|--------------------|----------------|--------------------|
| | | <u>FTE</u> | <u>Cost</u> | <u>FTE</u> | <u>Cost</u> |
| Purchased Services | | | | | |
| Clerical and Temporary Services | | | 800 | | 800 |
| Other Contract Services | | | 500 | | 500 |
| Total | | | \$1,300 | | \$1,300 |
| | | | | | |
| Total Personnel | | 16.0 | \$1,377,509 | 16.0 | \$1,538,505 |
| | | | | | |
| Distribution by Source of Funds | | | | | |
| General Revenue | | 16.0 | 1,377,509 | 16.0 | 1,538,505 |
| | | | | | |
| Total: All Funds | | 16.0 | \$1,377,509 | 16.0 | \$1,538,505 |

Department of Business Regulation

Insurance Regulation

| | <u>Grade</u> | <u>FY 2007</u> | | <u>FY 2008</u> | |
|--|--------------|----------------|--------------------|----------------|--------------------|
| | | <u>FTE</u> | <u>Cost</u> | <u>FTE</u> | <u>Cost</u> |
| Classified | | | | | |
| Associate Director & Superintendent of Ins. | 0141 A | 1.0 | 103,945 | 1.0 | 106,996 |
| Chief Insurance Examiner | 0139 A | 2.0 | 188,818 | 2.0 | 194,354 |
| Deputy Chief of Legal Services | 0137 A | 1.0 | 77,066 | 1.0 | 79,378 |
| Chief, Life, Accident & Health Ins. Analyst | 0137 A | 1.0 | 89,273 | 1.0 | 91,915 |
| Chief Property & Casualty Insurance Analyst | 0137 A | 1.0 | 87,740 | 1.0 | 92,027 |
| Licensing Coord. (Ins Producers, Appr & Adj) | 0128 A | 1.0 | 56,226 | 1.0 | 59,880 |
| Insurance Examiner-In-Charge | 0036 A | 7.0 | 567,531 | 7.0 | 600,524 |
| Market Conduct Examiner | 0036 A | 1.0 | 73,336 | 1.0 | 75,612 |
| Principal Insurance Examiner | 0031 A | 1.0 | 52,895 | 1.0 | 56,466 |
| Senior Insurance Rate Analyst | 0031 A | 4.0 | 273,835 | 4.0 | 287,222 |
| Principal Licensing Insurance Examiner | 0031 A | 1.0 | 70,746 | 1.0 | 74,011 |
| Principal Insurance Exam - EDP & Auto Sys | 0031 A | 1.0 | 52,895 | 1.0 | 56,466 |
| Senior Market Conduct Examiner | 0028 A | 2.0 | 121,550 | 2.0 | 129,196 |
| Senior Insurance Examiner | 0028 A | 4.0 | 238,742 | 4.0 | 250,437 |
| Insurance Rate Analyst (Health) | 0028 A | 1.0 | 54,895 | 1.0 | 56,541 |
| Administrative Officer | 0024 A | 1.0 | 53,855 | 1.0 | 54,305 |
| Insurance Examiner | 0024 A | 2.0 | 83,787 | 2.0 | 88,889 |
| Clerk Secretary | 0016 A | 1.0 | 39,564 | 1.0 | 41,360 |
| Licensing Aide | 0015 A | 5.0 | 174,061 | 5.0 | 183,495 |
| Subtotal | | 38.0 | \$2,460,760 | 38.0 | \$2,579,074 |
| Unclassified | | | | | |
| Health Insurance Commissioner | 0854 A | 1.0 | 158,242 | 1.0 | 168,158 |
| Exec. Asst. for Policy and Program Review | 0843 A | 1.0 | 96,929 | 1.0 | 103,972 |
| Principal Policy Associate | 0837 A | 1.0 | 71,930 | 1.0 | 76,841 |
| Chief Business Office Manager | 0833 A | 1.0 | 63,035 | 1.0 | 67,475 |
| Administrative Officer | 0822 A | 1.0 | 40,192 | 1.0 | 42,696 |
| Senior Field Auditor | 0822 A | 1.0 | 50,510 | 1.0 | 52,821 |
| Accountant | 0818 A | 1.0 | 40,412 | 1.0 | 41,701 |
| Administrative Secretary | 0816 A | 1.0 | 44,466 | 1.0 | 45,770 |
| Subtotal | | 8.0 | \$565,716 | 8.0 | \$599,434 |
| Turnover | | | (147,292) | | (32,587) |
| Total Salaries | | 46.0 | \$2,879,184 | 46.0 | \$3,145,921 |

Department of Business Regulation

Insurance Regulation

| | <u>Grade</u> | <u>FY 2007</u> | | <u>FY 2008</u> | |
|--|--------------|----------------|--------------------|----------------|--------------------|
| | | <u>FTE</u> | <u>Cost</u> | <u>FTE</u> | <u>Cost</u> |
| Benefits | | | | | |
| Retirement | | | 534,375 | | 653,412 |
| Medical | | | 411,708 | | 501,117 |
| FICA | | | 216,118 | | 236,018 |
| Retiree Health | | | 91,941 | | 116,207 |
| Payroll Accrual | | | 11,543 | | 15,751 |
| Total Salaries and Benefits | | 46.0 | \$4,144,869 | 46.0 | \$4,668,426 |
| Cost Per FTE Position | | | 90,106 | | 101,488 |
| Statewide Benefit Assessment | | | 109,405 | | 122,691 |
| Retroactive Payments | | | 188,683 | | - |
| Payroll Costs | | 46.0 | \$4,442,957 | 46.0 | \$4,791,117 |
| Purchased Services | | | | | |
| Legal Services | | | 3,600 | | 3,600 |
| Management and Consultant Services | | | 749,674 | | 775,026 |
| Total | | | \$753,274 | | \$778,626 |
| Total Personnel | | 46.0 | \$5,196,231 | 46.0 | \$5,569,743 |
| Distribution by Source of Funds | | | | | |
| General Revenue | | 44.0 | 4,447,810 | 44.0 | 4,798,501 |
| Federal Funds | | | 43,291 | | 51,742 |
| Restricted Receipts | | 2.0 | 705,130 | 2.0 | 719,500 |
| Total: All Funds | | 46.0 | \$5,196,231 | 46.0 | \$5,569,743 |

Department of Business Regulation

Board of Accountancy

| | <u>Grade</u> | <u>FY 2007</u> | | <u>FY 2008</u> | |
|--|--------------|----------------|------------------|----------------|------------------|
| | | <u>FTE</u> | <u>Cost</u> | <u>FTE</u> | <u>Cost</u> |
| Classified | | | | | |
| Administrative Officer | 0822 A | 1.0 | 46,822 | 1.0 | 48,228 |
| Administrative Aide | 0814 A | 1.0 | 35,884 | 1.0 | 36,960 |
| Subtotal | | 2.0 | \$82,706 | 2.0 | \$85,188 |
| Total Salaries | | 2.0 | \$82,706 | 2.0 | \$85,188 |
| Benefits | | | | | |
| Retirement | | | 15,218 | | 17,694 |
| Medical | | | 16,807 | | 19,948 |
| FICA | | | 6,327 | | 6,516 |
| Retiree Health | | | 2,563 | | 3,092 |
| Payroll Accrual | | | 331 | | 504 |
| Total Salaries and Benefits | | 2.0 | \$123,952 | 2.0 | \$132,942 |
| Cost Per FTE Position | | | 61,976 | | 66,471 |
| Statewide Benefit Assessment | | | 3,143 | | 3,322 |
| Payroll Costs | | 2.0 | \$127,095 | 2.0 | \$136,264 |
| Purchased Services | | | | | |
| Legal Services | | | 6,000 | | 6,000 |
| Total | | | \$6,000 | | \$6,000 |
| Total Personnel | | 2.0 | \$133,095 | 2.0 | \$142,264 |
| Distribution by Source of Funds | | | | | |
| General Revenue | | 2.0 | 133,095 | 2.0 | 142,264 |
| Total: All Funds | | 2.0 | \$133,095 | 2.0 | \$142,264 |